(S_3, S_6)-Amalgams IV.

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Introduction.

This paper in the fourth in a series of seven papers devoted to the study of (S_3, S_6)-amalgams. We continue the section numbering of the first three parts [LPR1], and refer the reader to Sections 1 and 2 for notation and background material. However for the readers' convenience we summarize some of the main points from these sections.

Adopting the philosophy of Goldschmidt [Go] our study of (S_3, S_6)-amalgams proceeds by examining the action of a group G (which is a certain free amalgamated product) on a certain tree T. Now G has two orbits on V(T), the vertices of T, and one orbit on the edges of T. Let a_1, a_2, ε V(T) be adjacent vertices. Then the properties of (S_3, S_6)-amalgams translate into this situation as follows:

1) G = \langle G_{a_1}, G_{a_2} \rangle;
2) G_{a_1} \cap G_{a_2} = G_{a_1 a_2} contains no non-trivial normal subgroup of G;
3) G_{a_1 a_2} \leq Syl_2(G_{a_1}) \cap Syl_2(G_{a_2});
4) O_2(G_{a_i}) \leq O_2(G_{a_i}) for i = 1, 2; and
5) G_{a_1}/O_2(G_{a_1}) \cong S_3 and G_{a_2}/O_2(G_{a_2}) \cong S_6.

The overall aim is to determine the group theoretic structure of the vertex stabilizers G_{a_1} and G_{a_2}.

For δ \in V(T), we set

\[ \Delta(\delta) = \{ \lambda \in V(T) | d(\delta, \lambda) = 1 \}, \]

where d(,) in the standard graph theoretic distance on T. Also for i \in \mathbb{N},

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we let

\[ \Delta^{[i]}(\delta) = \{ \lambda \in V(\Gamma) \mid d(\delta, \lambda) \leq i \}. \]

The two orbits of \( G \) on \( V(\Gamma) \) are, in fact, \( a_1^G \) and \( a_2^G \); for \( \delta \in V(\Gamma) \) we use \( \delta \in O(S_3) \) (respectively \( \delta \in O(S_2) \)) to mean that \( \delta \in a_1^G \) (respectively \( \delta \in a_2^G \)).

For \( \delta \in V(\Gamma) \) put \( Q_\delta = O_2(G_\delta) \). Various normal subgroups of \( G_\delta \) contained in \( Q_\delta \) will be analyzed extensively. We begin with \( Z_\delta \) which reappears over and over again in our arguments and is defined by

\[ Z_\delta = \langle \Omega_1(Z(G_{\delta\lambda})) \mid \lambda \in \Delta(\delta) \rangle. \]

Letting \( k \in \mathbb{N} \) we further define

\[ G^{[k]}_\delta = \langle Z_\delta \mid \lambda \in \Delta^{[k]}(\delta) \rangle. \]

We shall concentrate on these subgroups mostly for \( k \leq 4 \), and we use the following abbreviations

\[ V_\delta = G^{[1]}_\delta, \]
\[ U_\delta = G^{[2]}_\delta, \quad \text{and} \]
\[ W_\delta = G^{[3]}_\delta. \]

The first phase of our investigations, which amounts to a very considerable step in pinning down the possible structure for \( G_{a_1} \) and \( G_{a_2} \), consists of bounding the parameter \( b \). This parameter, called the critical distance, is defined by

\[ b = \min \{ b_\mu \mid \mu \in V(\Gamma) \} \]

where

\[ b_\mu = \min \{ d(\mu, \lambda) \mid \lambda \in V(\Gamma), Z_\mu \not\subseteq Q_\delta \}. \]

We note that \( b \geq 1 \). For \( a, a' \in V(\Gamma) \), if \( d(a, a') = b \) and \( Z_a \not\subseteq Q_{a'} \), then we say that \( (a, a') \) is a critical pair and denote the set of critical pairs by \( \mathcal{C} \). Suppose that \( (\delta, \delta') \in \mathcal{C} \). Since \( \Gamma \) is a tree, there is a unique path in \( \Gamma \) between \( \delta \) and \( \delta' \) which we label in one of the following ways.

\[ \delta \quad \delta + 1 \quad \delta + 2 \quad \delta + b - 2 \quad \delta + b - 1 \quad \delta' \]
\[ \delta' - b + 1 \quad \ldots \quad \delta' - 2 \quad \delta' - 1 \]

Often we use \( \delta - 1 \) and \( \delta' - 1 \) to stand for, respectively, an arbitrary vertex of \( \Delta(\delta) \setminus \{ \delta + 1 \} \) and \( \Delta(\delta') \setminus \{ \delta' - 1 \} \). When using \( (a, a') \in \mathcal{C} \) we shall
always set $\beta = a + 1$. Let $(a, a') \in \mathcal{C}$. If $[Z_a, Z_{a'}] \neq 1$, then we say that $(a, a')$ is a non-commuting critical pair and if $[Z_a, Z_{a'}] = 1$, $(a, a')$ is called a commuting critical pair.

This paper marks the beginning of our work on the commuting case for $(S_3, S_6)$-amalgams – the non-commuting case so far as bounding $b$, being covered in [LPR1]. Here we consider commuting critical pairs $(a, a')$ with $a \in O(S_6)$-those commuting critical pairs with $a \in O(S_3)$ are the subject of parts V, VI and VII and the determinations of the structure of the $(S_3, S_6)$-amalgams once the critical distance in bounded can be found in [LPR2]. Our main conclusion here is contained in the following result.

**Theorem.** Suppose that for $(a, a') \in \mathcal{C}$ we have $[Z_a, Z_{a'}] = 1$ and $a \in O(S_6)$. Then $b \in \{1, 3\}$.

We conclude this introduction with some comments on the proof of this theorem as well as discussing some module facts.

Section 8 contains three preliminary results. One of these is the Core Argument given in Lemma 8.3. This is used frequently to lure subgroups into the $G_a$-cores of $Z_a$ and $Z_a^*$ (see Section 8 for the definition of $Z_a^*$). The structure of $Z_a^*$, dealt with in Lemma 8.3, is also important in many of our later deliberations.

The majority of Section 9 is taken up with the proof of Theorem 9.2 which proves that $[Z_a^* : Z_a^* \cap Z_{a+2}^*] \neq 2$ so long as $b > 1$. Most of this proof is concerned with examining a particular Goldschmidt subamalgam $(H, H_b)$ chosen so that it acts upon an FF-module $V_0$. This gives us access to results of Goldschmidt and Chernik which classify the possible amalgams and FF-modules. Our task then becomes the elimination of each of these possibilities. The most stubborn resistance is offered by the cases $\hat{H} \cong S_6$ and $\hat{H} \cong G_2(2)$ (where $H = \langle H_a, H_b \rangle$ and $\hat{H} = H/C_H(V_0)$). To deal with these cases we need to make use of another result of Chernik’s [Ch2].

The last section of this paper investigates the case $[Z_a^* : Z_a^* \cap Z_{a+2}^*] \neq 2$. Here we make greater use of the tree $\Gamma$. An important step in our analysis is Lemma 10.2 which says that our critical pairs are, in a certain sense, symmetric. Then in the next lemma we discover that, in fact, $Z_a^* = Z_a$. Lemma 10.4 observes certain facts about commutators and Lemma 10.5 describes some properties of $Sp_4(2)$ which are used in Lemma 10.6. Both Lemmas 10.7 and 10.8 focus upon $U_a$ and, with these results to hand, the proof of the above theorem follows quickly.

If, for $\delta \in V(\Gamma), M_\delta \leq N_\delta \leq Q_\delta$ with $M_\delta$ and $N_\delta$ both normal in $G_\delta$, then $\eta(G_\delta, N_\delta/M_\delta)$ denotes the number of non-central $G_\delta$-chief factors in
$N_δ/M_δ$. When looking at $G_δ$-invariant sections such as $N_δ/M_δ$ we find that we need to know many details about irreducible $GF(2)$-modules for $S_3$ and $S_6$. The former group has just one non-trivial irreducible $GF(2)$-module which has dimension 2. For $H \cong S_6$ there are (up to isomorphism) four irreducible $GF(2)$-modules the most important for us being the two of dimension 4. Either of these modules will be referred to as a natural $S_6$-module (and sometimes denoted by 4). These two modules are related by the graph automorphism of $Sp_4(2) \cong S_6$. The 6-dimensional permutation module $V$ for $S_6$ is indecomposable and has an irreducible composition factor of dimension 4. Calculations in $V$ are easy and enable us to find out all we need to know about natural modules. Let $U$ be a $GF(2)$ $H$-module. We call $U$ an orthogonal module if $U$ is indecomposable of dimension 5 and $U/C_U(H)$ is a natural module. Such a module is sometimes denoted by $\binom{4}{1}$ while $W \cong \binom{4}{1}$ indicates that $W$ is an indecomposable module of dimension 5 with $[W, H]$ a natural module.

For an extensive (and up to date) account of amalgams the reader may consult [PR].

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8. Three Lemmata.

We begin by stating the following:

**HYPOTHESIS 8.1.** If $(a, a') \in C$, then $[Z_a, Z_{a'}] = 1$, $a \in O(S_6)$ and $b > 1$.

Before investigating the consequences of this hypothesis we state some well-known general observations on the commuting case.

**LEMMA 8.2.** Let $(a, a') \in C$ and assume $[Z_a, Z_{a'}] = 1$.

(i) $b = b_α$ is odd and $b_β = b + 1$.

(ii) $Z_β = Ω_1(Z(G_α)) = Ω_1(Z(G_β)) < Z_a, Z(G_a) = 1$ and $C_{G_a}(Z_a) = Q_a$.

Also $η(G_a, Z_a) ≥ 1$ and, if $b > 1$, $η(G_{a'}, V_{a'}/Z_{a'}) ≥ 1$

If, additionally, $a \in O(S_6)$, then

(iii) $G_{αβ} = Q_aQ_β$; and

(iv) if $Δ(a') = \{a' - 1, τ_1, τ_2\}$, then $Z_{a' - 1}$, $Z_{τ_1}$ and $Z_{τ_2}$ are pairwise distinct, $Z_a$ is transitive on $\{τ_1, τ_2\}$ and $Z_{τ_1}$ and $Z_{τ_2}$ are conjugate by an element of $Z_a$. 

PROOF. Since $G_{a'}/Q_{a'} \cong S_3$ or $S_6$ and $Z_a \not\leq Q_{a'}$, $G_{a'} = G_{a'a-1}C_{G_{a'}}(Z_{a'})$ whence $Z_{a'} = \Omega_1(Z(G_{a'a-1})) \leq Z(G_{a'})$. Then $Z_{a'} = \Omega_1(Z(G_{a'a-1})) = \Omega_1(Z(G_{a'a})).$ If $b \equiv 0 (2)$, then $Z_a = \Omega_1(Z(G_{a'b})) \leq Z_{a'} \leq Q_{a'}$, a contradiction. So $b \equiv 1(2)$ and (i) holds. Suppose $b > 1$ and $\eta(G_{a'}, V_{a'}/Z_{a'}) = 0$. Then, as $Z_{a'} \leq Z_{a'-1} \leq V_{a'}$, we obtain $O^2(G_{a'}) \leq N_{G_{a'}}(Z_{a'-1})$, against Lemma 1.1 (ii). Thus $\eta(G_{a'}, V_{a'}/Z_{a'}) \geq 1$; the remainder of (ii) is a consequence of Lemma 1.1 (ii).

Clearly $Q_{a'-1} \not\leq Q_{a'}$ and so as $a' \in O(S_3)$ by (i) and $a \in O(S_6)$ we have (iii). We note that $G_{a'}$ is generated by two distinct edge stabilizers and so (iv) follows easily.

For the rest of this paper we assume Hypothesis 8.1 holds (with the exception of Lemma 10.2 where we do not require $b > 1$). Let $(a, a') \in C$. We choose $Z^*_a$ to be a minimal normal subgroup of $G_a$ contained in $Z_a$. By Lemma 8.2 (ii), $Z^*_a$ is an irreducible $G_a/Q_a$-module. Now for $\tau \in O(S_6)$, we define subgroups $Z^*_\tau := (Z^*_a)^\tau$, where $g \in G$ is such that $a : g = \tau$. This is easily seen to be well-defined. For $\lambda \in O(S_3)$ and $\tau \in D(\lambda)$ we also define $V^*_\lambda = \langle Z^*_\tau \rangle$, $Y^*_\tau = \text{core}_{G_a}(Z^*_\tau)$ and $Y_\tau = \text{core}_{G_a}(Z^*_\tau)$.

**Lemma 8.3.** (The Core Argument) Let $\tau \in O(S_3)$ and $\Delta(\tau) = \{\lambda_1, \lambda_2, \lambda_3\}$. Suppose that $H \leq G_{\lambda_1}$ and $H \not\leq Q_\tau$. Then

(i) $Y^*_\tau = Z^*_\lambda \cap Z^*_j$ and $Y_\tau = Z^*_\lambda \cap Z^*_j$, for $i \neq j \in \{1, 2, 3\}$;

(ii) $H$ is transitive on $\{\lambda_2, \lambda_3\}$; and

(iii) if $H$ normalizes a subgroup $M^*$ of $Z^*_\lambda$ (respectively $M$ of $Z^*_\lambda$) for some $i \in \{2, 3\}$, then $M^* \leq Y^*_\tau$ (respectively $M \leq Y_\tau$).

**Proof.** Note that $G_{\tau_\lambda_\kappa}$ normalizes $Z^*_\lambda \cap Z^*_j$ and $Z^*_\lambda \cap Z^*_j$ where $\{i, j, k\} = \{1, 2, 3\}$. So, since $[Z^*_\lambda, Q_\lambda] = [Z^*_j, Q_\lambda] = 1$, $Z^*_\lambda \cap Z^*_j$ and $Z^*_\lambda \cap Z^*_j$ are both normalized by $Q_{\lambda_\lambda_\kappa} = G_{\tau_\lambda_\kappa}$, and (i) holds. Part (ii) is clear while (iii) follows from (i) and (ii).

**Lemma 8.4.** Let $(a, a') \in C$.

(i) If $[Z^*_a : Z^*_a \cap Z^*_a + 2] = 2$, then $\eta(G_{a'}, V^*_a) = 1$.

(ii) $Q_a \not\leq \text{Syl}_2(Q^*_a)$.

(iii) $Z^*_a$ is natural $G_a/Q_a$-module.

**Proof.** (i) Assume that $[Z^*_a : Z^*_a \cap Z^*_a + 2] = 2$. Then $[Z^*_a : Y^*_a] = 2$. By Lemma 1.1 (ii) $Z^*_a < \langle Z^*_a \rangle = V^*_a$ and so, as $|V^*_a/Y^*_a| \leq 2^3$, $\eta(G_{a'}, V^*_a) = 1$.

(ii) Suppose that $Q_a \not\leq \text{Syl}_2(Q^*_a)$). From Lemma 8.2 (iii) $X_\beta := \langle Q^*_a \rangle$ covers $G_{\beta}/Q_\beta$. Set $Q = Q_a \cap Q_\beta$. Then $Q = O_2(X_\beta)$. Now Lemma 1.1 implies
that no non-trivial characteristic subgroup of $Q_a$ is normal in $X_\beta$. Appealing to 3.2 yields that

\[(8.4.1) \quad \eta(X_\beta, Q) = 1 \text{ and} \]

\[\langle \Omega_1(Z(Q))^x | x \in Aut(Q_a) \text{ and } x \text{ has odd order} \rangle\]

is a normal subgroup of $X_\beta$ contained in $Q$.

Noting that $Q = \text{core}_{G_\beta}(Q_a)$, we see that $Q$ centralizes $\langle Z^G_a \rangle = V_\beta$. Hence, as $b \geq 3$, $V_\beta \leq \Omega_1(Z(Q))$. So (8.4.1) implies that $U_a = \langle V_\beta^{O^2(G_a)} \rangle \leq Q$. Since $\eta(X_\beta, Q) = 1 = \eta(X_\beta, V_\beta)$ and $V_\beta \leq U_a \leq Q_a$, we have $U_a$ is normal in $X_\beta$ which is impossible by Lemma 1.1 (ii). Thus $Q_a \not\subseteq \text{Syl}_2(Q^G_a)$.

(iii) First we consider the case when $\eta(G_\beta, V_\beta^*) \geq 2$. Then $V_\beta^* \cap Q_\beta \leq Q_a$. Since $(a, a') \in C$ and $a' \in O(S_3)$, $Z_a$ acts transitively on $\Delta(a') \setminus \{a' - 1\} = \{\tau, \rho\}$ and hence $Z^*_a \cap Q_\beta \leq C_{G_a}(V_\beta^*)$. So we have

\[(8.4.2) \quad [Z^*_a : C_{Z_a}(V_\beta^* \cap Q_\beta)] \leq [Z^*_a : Z^*_a \cap Q_\beta] \leq 2^4.\]

Now Proposition 2.9 (i) and (8.4.2) show that $Z^*_a$ is not isomorphic to the 16-dimensional irreducible $GF(2)^3S_6$-module, whence $Z^*_a$ is a natural module by Lemma 2.2 (i). So we may suppose that $\eta(G_\beta, V_\beta^*) = 1$. Thus $[V_\beta^*, Q_\beta] = [Z_a, Q_\beta]$. If $Z^*_a$ is the 16-dimensional Steinberg module for $G_a = G_a/Q_a$, then by Proposition 2.9, $[Z^*_a, Q_\beta] \cong E(2^{15})$ and $C_{Z_a}(j) \cong E(2^8)$ for each involution $j$ of $G_a$. Therefore, $C_{G_a}(V_\beta^*, Q_\beta) = Q_a$. Set $H_\beta = C_{G_a}(V_\beta^*, Q_\beta)$. Then $H_\beta \leq G_\beta$ and so $Q_a \subseteq \text{Syl}_2(H_\beta)$. But, as $H_\beta = \langle Q_a^G \rangle$, this contradicts part (ii), so completing the proof of (iii). \[\square\]


Suppose that $(a, a') \in C$. Our main result in this section is Theorem 9.2 in which we show that the case $[Z^*_a : Z^*_a \cap Z^*_a+2] = 2$ cannot occur. If $[Z^*_a : Z^*_a \cap Z^*_a+2] = 2$, then from Lemma 8.4 $\eta(G_\beta, V_\beta^*) = 1$ and $Z^*_a \cong E(2^4)$ is a natural $G_a/Q_a$-module. Moreover we have that $Y^*_\beta = [V_\beta^*, Q_\beta] = [Z^*_a, Q_\beta] \cong E(2^8)$. We shall use these facts without further references in this section.

Set $C_\beta = C_{G_\beta}(V_\beta^*)$, $K_\beta = \langle [V_\beta^*, Q_\beta]^G \rangle$ and $H_\beta = C_{G_\beta}(V_\beta^*)$. Observe that $H_\beta = \langle Q_a^G \rangle$. Our first lemma looks at the structure of $K_\beta$ and $V_\beta^*$.

\[\text{LEMMA 9.1. Assume that } [Z^*_a : Z^*_a \cap Z^*_a+2] = 2. \text{ Then } |K_\beta| = 2^3, K_\beta \cap Z^*_a = C_{Z_a}(G_a) \text{ and } K_\beta = [V_\beta^*, O^2(H_\beta)]. \text{ In particular, } |V_\beta^*| = 2^6.\]
Proof. Since $[Z_a : Y_β^*] = 2 = [Z_{a+2} : Y_β^*]$, we have $[Z_{a+2} : Q_a ∩ Q_β] ≤ Z_β ∩ Z_a^*$. Therefore, $Q_a$ acts as a group of order 2 on $V_β^*/(Z_β ∩ Z_a^*)$ which centralizes the subgroup $[V_β^*, Q_a]Z_a^*/(Z_β ∩ Z_a^*)$. Since this latter group has index 2 in $V_β^*/(Z_β ∩ Z_a^*)$ and $[V_β^*, Q_a] ≤ Y_β^*$, we have $|[V_β^*, Q_a]Z_a^*/(Z_β ∩ Z_a^*)| = 2^2$. If $K_β$ has order $2^2$, then $K_β Z_a = V_β^*$ which then gives $[V_β^*, Q_a] = 1$, contrary to $η(G_β, V_β^*) = 1$. Thus $K_β$ has order $2^3$ with $|K_β Y_β^*/Y_β^*| = 2^2$. Suppose $V_β^* = K_β Y_β^*$. Then $[V_β^*, Q_a] ≤ Z_a^*$ and, as $[V_β^*, Q_a]$ in normal in $G_{αβ}$, the uniseriality of $Z_a^*$ as a $G_{αβ}$-module, implies that $[V_β^*, Q_a] ≤ Y_β^*$ which is of course impossible. Thus $|V_β^*/Y_β^*| = 2^3$ and $K_β ∩ Z_a^* = K_β ∩ Y_β^* = Z_a^* ∩ Z_β = C_{Z_a}(G_{αβ})$.

Theorem 9.2. If $(a, a') ∈ C$, then $[Z_a^* : Z_a^* ∩ Z_{a+2}^*] ≠ 2$.

Proof. Suppose that $[Z_a^* : Z_a^* ∩ Z_{a+2}^*] = 2$ for $(a, a') ∈ C$ and put $Q = Q_a ∩ Q_β$. Then we have that $H_β$ has a Sylow 2-subgroup $T$ where $Z_a ∼ T/Q_a ≤ Z(G_{αβ}/Q_a)$ with $T/Q_a$ acting as a transvection on $Z_a^*$. Let $t ∈ O_2(H_β) \setminus Q$. Then $O_2(H_β) = Q⟨t⟩$ and $T = ⟨t⟩Q_a$.

We identify $G_{αβ}/Q_a$ with $⟨(56), (13)(24), (12)⟩$ and assume that $Z_a^*$ is the natural module which admits (56) as a transvection. Then $tQ_a = (56)$. Let $I = \{1, 2, 3, 4\}$ and let $d_i ∈ G_a$, $i ∈ I$, be such that

$$d_1Q_a = (156), \ d_2Q_a = (256), \ d_3Q_a = (356), \ d_4Q_a = (456).$$

Then $tQ_a$ inverts $d_iQ_a$ for each $i ∈ I$. Set $I = \{⟨d_iQ_a⟩ | i ∈ I\}$. For $i ∈ I$ we define the following subgroups:

$$H_i, a = ⟨T, d_i⟩;$$
$$H_i = ⟨H_β, H_{i, a}⟩;$$
$$N_i = \text{Core}_{H_i}(T);$$
$$V_i = Ω_1(Z(N_i)) \text{ and }$$
$$U_i = [V_i, H_i].$$

Note that for each $i ∈ I$, $O_2(H_{i, a}) = Q_a$ and that $H_{i, a}/Q_a ≅ S_3$. For $i ∈ I$, we additionally set

$$H_i, a = H_i/N_i, \ H_{i, a} = H_{i, a}/N_i \text{ and } H_{i, a}/Q_a ≅ S_3.$$
Since \( H_\beta/O_2(H_\beta) \cong S_3 \) and \( H_{i,a}/Q_a \cong S_3 \), \( \overline{H}_i = \overline{H}_{i,a} \ast T \overline{H}_{i,\beta} \) is a Goldschmidt amalgam. Because \( G_{a\beta}/Q_a \) permutes the set \( \{ (d_i Q_a) \mid i \in I \} \) transitively and normalizes \( H_\beta \), the type of the Goldschmidt amalgam is independent of \( i \in I \).

Note that \( N_i \leq O_2(H_{i,a}) \cap O_2(H_\beta) = Q_a \cap O_2(H_\beta) = Q \) and so, by Lemma 8.4 (ii),

\[
(9.2.2) \quad O_2(O^2(H_\beta)) \leq Q_a.
\]

Let \( i \in I \). Recalling that \( t \) acts as a central transvection on \( Z_a^* \), we have

\[
Z_i := C_{Z_a}(d_i t) = C_{Z_a}(d_i) \cong E(2^2) \quad \text{with} \quad Z_i \leq C_{Z_a}(t) = Y^*_\beta.
\]

Hence, \( Z_i \leq Z(H_i) \) and so \( Z_i \leq N_i \). Therefore, \( |Z^*_a| \leq 2^2 \). We next show that

\[
(9.2.3) \quad Z^*_a \leq N_i \text{ for each } i \in I.
\]

We suppose that (9.2.3) is false, and seek a contradiction. If \( |Z^*_a N_i/N_i| = 2 \), then \( Z^*_a = \langle (Z^*_a \cap N_i)^{H_{i,a}} \rangle \leq N_i \). So we must have \( |Z^*_a N_i/N_i| = 2^2 \) with \( \eta(\overline{H}_{i,a}, \overline{Z^*_a}) = 1 \). Also, from (9.2.2), \( \eta(\overline{H}_{i,\beta}, O_2(\overline{H}_{i,\beta})) \geq 1 \). Let \( b \) be the critical distance of the amalgam \( (\overline{H}_{i,a}, \overline{H}_{i,\beta}) \). Then \( b \geq 3 \) forces \( \tilde{b} \geq 3 \) which then yields, using Theorem 3.5, \( \tilde{b} \geq 3 \) and \( \overline{H}_i \) is of type \( G_5 \) or \( G_1^5 \). Both of these amalgams have the property that \( |Z(O^2(\overline{H}_{i,\beta}))| = 2 \). Now \( \tilde{b} = 3 \) means that there exists \( h \in \overline{H}_i \) such that \( \overline{Z^*_a} \leq \overline{H}^h_{i,\beta} \) but \( \overline{Z^*_a} \not\leq O_2(\overline{H}^h_{i,\beta}) \).

Since \( [N_i, Z^*_a] = 1 \), this leads to \( [N_i, O^2(H_\beta)] = 1 \). Hence using (9.2.2),

\[
[N_i, \langle O^2(H_\beta), O^2(H_{i,a}) \rangle] = 1.
\]

Combining (9.2.1) and Lemma 1.1 (ii) gives

\[
(9.2.3.1) \quad N_i \text{ contains no non-trivial } G_{a\beta}-\text{invariant subgroups.}
\]

Let \( g \in G_{a\beta} \). Since \( G_{a\beta} \) normalizes \( O^2(H_\beta) \), \( [N_i^g, O^2(H_\beta)] = 1 \) and so, as \( |Z(O^2(\overline{H}_{i,\beta}))| = 2 \), it follows that \( N_i^g N_i \leq N_i Z^*_a \). Therefore \( N_i Z^*_a \leq G_{a\beta} \) and consequently \( N_i Z^*_a \leq G_a \) by (9.2.1). Because \( Q_a \) normalizes \( N_i \), \( [N_i Z^*_a, Q_a] = 1 \). Hence using (9.2.3.1) again, we obtain \( N_i Z^*_a \leq Q_1(Z(Q_a)) \). Since \( Q_a/N_i Z^*_a \) is a \( G_a \)-invariant section of \( Q_a \) with \( \eta(H_{i,a}, Q_a/N_i Z^*_a) \neq 0, \eta(G_a, Q_a/N_i Z^*_a) \neq 0 \). Now, by Theorem 3.5, \( |T| \leq 2^7 \) which then shows that \( Q_a/N_i Z^*_a \cong E(2^4) \) is a natural \( G_a/Q_a \)-module. As \( [V^*_\beta, Q_a] \neq 1 \), \( V^*_\beta \not\leq N_i Z^*_a \). Hence \( Q_a \) is generated by involutions and thus, appealing to Lemma 3.11, \( Q_a \) is elementary abelian. But then \( Q_a/N_i \) is
elementary abelian which is not the case as \( Q_a / N_i \) contains subgroups isomorphic to \( \mathbb{Z}_4 \times \mathbb{Z}_4 \). With this contradiction we have verified (9.2.3).

From (9.2.3), \( Z_a^i \leq V_i \) and therefore \( V_{\beta}^i = \langle Z_a^i H_{\beta} \rangle \leq V_i \) for all \( i \in I \). Since

\[
    N_i \leq C_{H_{\beta}}(V_i) \leq C_{\beta} \leq Q \leq T \quad \text{and} \quad N_i \leq C_{H_{i,a}}(V_i) \leq C_{H_{i,a}}(Z_a^i) \leq Q_a \leq T,
\]

we deduce that \( C_{H_{i,a}}(V_i) = C_{H_{\beta}}(V_i) \). Hence \( N_i = C_{H_{i,a}}(V_i) = C_{H_{\beta}}(V_i) \). Because \( \langle H_{i,a}, G_{\alpha} \rangle = G_a \) by (9.2.1), \( H_{i,a} \) does not normalize \( V_{\beta}^i \) and so \( C_{H_{i,a}}(V_i) H_{i,a} \neq C_{H_i}(V_i) H_{\beta} \). Consequently, putting \( \tilde{H}_i = H_i / C_{H_{i,a}}(V_i) \), \( \tilde{H}_i \approx \tilde{H}_{i,a} \# \tilde{H}_{\beta} \) is a Goldschmidt amalgam with \( \tilde{H}_{i,a} \cong \tilde{H}_{i,a} \) and \( \tilde{H}_{\beta} \cong \tilde{H}_{i,\beta} \).

The first part of the next claim has been discussed a moment ago.

(9.2.4) For \( i \in I \),

(i) \( V_{\beta}^i \leq V_i \) and \( N_i \leq C_{\beta} \); and

(ii) \( J(C_{\beta}) \leq N_i \) (\( J(C_{\beta}) \) being the elementary abelian version of the Thompson subgroup of \( C_{\beta} \)).

For (ii) note that \( J(C_{\beta}) \leq N_i \) implies that \( J(C_{\beta}) = J(N_i) \) is normalized by \( \langle H_i, G_{\beta} \rangle = G \) and, as \( J(C_{\beta}) \neq 1 \), this contradicts Lemma 1.1.

It follows from (9.2.4) (ii) that \( \widehat{J(C_{\beta})} \) contains an offender on \( V_i \). Further, as \( \eta(\tilde{H}_{\beta}, O_2(\tilde{H})) \geq 1 \) and \( J(C_{\beta}) \leq H_{\beta} \), Theorem 3.6 ([Table II and Corollary 3, Ch1]) yields that \( \tilde{H}_i \cong S_6 \) or \( G_2(2) \) and all the following additional information.

(9.2.5) For \( i \in I \)

(i) \( \tilde{H}_{i,a}, \tilde{H}_{i,\beta} \) is of type \( G_3^1 \) or \( G_4^1 \) and is independent of \( i \in I \);

(ii) \( \tilde{H}_i \cong S_6 \) or \( G_2(2) \);

(iii) if \( \tilde{H}_i \cong S_6 \), then \( U_i \) is isomorphic to either the natural or orthogonal \( S_6 \) module and \( C_{\beta} / N_i \) acts as a transvection on \( U_i \); and

(iv) if \( \tilde{H}_i \cong G_2(2) \), then \( U_i / C_{U_i}(H_i) \) is isomorphic to the natural 6-dimensional \( G_2(2) \)-module and \( |U_i| \leq 2^7 \). Furthermore, \( C_{\beta} / N_i \) has order \( 2^3 \) and is an offender on \( U_i \).

We will need the following result about amalgams of type \( G_3^1 \) or \( G_4^1 \).

(9.2.6) Let \( i \in I \) and suppose \( \bar{W} \leq \tilde{H}_i \). If either \( \bar{W} \cap \tilde{H}_{i,a} \not= 1 \) or \( \bar{W} \cap \tilde{H}_{\beta} \not= 1 \), then \( O^2(\tilde{H}_i) \leq \bar{W} \).

If, say, \( w \in \bar{W} \) where \( \langle w \rangle \in Syl_3(\tilde{H}_{\beta}) \) then \( [w, O_2(\tilde{H}_{\beta})] \leq \bar{W} \). Since
\( (\widetilde{H}_{i,a}, \widetilde{H}_\beta) \) is of type \( G^1_3 \) or \( G^1_4 \), \( [w, O_2(\widetilde{H}_\beta)] \not\leq O_2(\widetilde{H}_{i,a}) \) which forces \( v \in \widetilde{W} \)
where \( \langle v \rangle \in \text{Syl}_3(\widetilde{H}_{i,a}) \). This yields \( O_2(\widetilde{H}_i) \leq \widetilde{W} \). So we may suppose \( \widetilde{W} \cap \widetilde{H}_\beta \leq O_2(\widetilde{H}_\beta) \) and likewise that \( \widetilde{W} \cap \widetilde{H}_{i,a} \leq O_2(\widetilde{H}_{i,a}) \). But then \( 1 \neq \widetilde{W} \cap \widetilde{H}_{i,a} \leq \widetilde{H}_i \), a contradiction.

Note that \( G_{a\beta}/Q_a \) permutes the set \( I \) transitively by conjugation. Assume that \( g \in G_{a\beta} \) and \( \langle d_i Q_a \rangle^g = \langle d_j Q_a \rangle \). Then, as \( Q_a \leq T \), we have

\[
H^g_{i,a} = H_{j,a}
\]

and, because \( G_{a\beta} \) normalizes \( H_\beta \), we conclude that \( H^{g}_{i} = H_{j} \), \( N^{g}_{i} = N_{j} \), \( V^{g}_{i} = V_{j} \) and \( U^{g}_{i} = U_{j} \). Set, for \( i, j \in I \) with \( i \neq j \),

\[
H_{ij} = \langle H_i, H_j \rangle
\]

\[
N_{ij} = \text{core}_{H_\beta}(T)
\]

\[
V_{ij} = \Omega_1(Z(N_{ij})) \quad \text{and} \quad \overline{H}_{ij} = H_{ij}/N_{ij}.
\]

Note that \( G_{a\beta} \) has two orbits on the pairs \( \{i, j\} \subseteq I \). One orbit has length two and consists of \( \{\{1, 2\}, \{3, 4\} \} \) and the second orbit has length four and consists of all the other pairs.

Set \( M = \bigcap_{i \in I} N_i \). Then \( M \) is normalized by \( G_{a\beta} \). If \( [N_1, d_i] \leq M \) were to hold then, \( M \leq \langle d_1, G_{a\beta}, H_\beta \rangle = G \) which yields \( V^{\ast}_\beta \leq M = 1 \). Thus \( [N_1, d_1] \not\leq M \) and so there exist \( j \in I \setminus \{i\} \) such that \( [N_1, d_1] \not\leq N_j \). Since there is an element in \( G_{a\beta} \) which exchanges 1 and \( j \), we then have \( [N_j, d_j] \not\leq N_1 \). The action of \( G_{a\beta}/Q_a \) on pairs in \( I \) shows that

\[
(9.2.7) \quad \text{there exists } j \in \{2, 3\} \text{ such that } [N_1, d_1] \not\leq N_j.
\]

Note that if \( i, j \in I \), \( i \neq j \), and \( [N_i, d_i] \leq N_j \), then \( N_{ij} = N_i \cap N_j \).

\[
(9.2.8) \quad \text{Suppose that } j \in I \text{ and } [N_1, d_1] \not\leq N_j. \text{ Then}
\]

(i) \( C_T(Q_a) \leq Q_a \); and

(ii) for \( k \in \{1, j\}, C_{\overline{H}_\beta}(\overline{N}_k) \leq \overline{N}_k, C_{\overline{H}_{k,a}}(\overline{N}_k) \leq \overline{N}_k, \eta(\overline{H}_{k,a}, O_2(\overline{H}_{k,a})) \geq 2 \) and \( \eta(\overline{H}_\beta, O_2(\overline{H}_\beta)) \geq 2 \).

If (i) is false, then \( d_1 \) would centralize \( Q_a \). Hence, since \( N_{1j} \leq C_\beta \leq Q_a \), \( d_1 \)
normalizes \( C_\beta \) whence \( C_\beta \subseteq \langle G_{a\beta}, d_1 \rangle = G_a \), a contradiction. Thus (i) holds.

Suppose \( C_{\overline{H}_\beta}(\overline{N}_k) \not\leq \overline{N}_k \) (where \( k \in \{1, j\} \)). Applying (9.2.6) with
\( \bar{W} = C_{\overline{N}_j}(N_k) \) yields that \( d_k \) centralizes \( N_k \). So \([N_k, d_k] \leq N_{ij} \leq N_1 \cap N_j\) which is not the case. Therefore \( C_{\overline{N}_j}(N_k) \leq \overline{H}_k \) and likewise \( C_{\overline{N}_j}(N_k) \leq N_k \) for \( k = 1, j \). Now, appealing (9.2.5) (ii), the remainder of (ii) follows.

In the language of Cheremak [Ch2], (9.2.7) and (9.2.8) say that, provided 
\[ [N_1, d_1] \not\leq N_j, \quad \{T \subseteq H_1, a, H_j, a, H_B\} \] is a “Large Triangular Amalgam”. Since \( O_2(H_1, a) = Q_a = O_2(H_j, a) \), we may apply [Theorem A, Ch2] to obtain the following result.

(9.2.9) Assume that \([N_1, d_1] \not\leq N_j\). Then, for \( k \in \{1, j\} \), \( N_k/N_{1j} \) is elementary abelian, contains exactly one non-central \( H_k \)-chief factor and \( N_1 \cap N_j \) is not normal in \( H_k \).

(9.2.10) Suppose that \([N_1, d_1] \not\leq N_j\). Then \( H_1 \) and \( H_j \) have no non-central chief factors within \( N_{1j} \).

Suppose that \( C_T(N_{1j}) \leq N_{1j} \). Then \( V_1 V_j \leq V_{1j} \). Define
\[
m = \min_{A \in A(C_\beta)} \{|A| \leq N_1 \text{ or } A \not\leq N_2\}
\]
and
\[
K(C_\beta) = \{B \in A(C_\beta) \mid |B| < m\}.
\]
Then, by the definition of \( m \), \( \langle K(C_\beta) \rangle \leq N_1 \cap N_j \) and consequently is invariant under the conjugation action of \( H_1 \) and \( H_j \). Therefore, \( \langle K(C_\beta) \rangle \leq N_{1j} \). By (9.2.4) (ii), \( J(C_\beta) \not\leq N_i \) for \( i \in I \), so \( m > 1 \). Furthermore, as there is an element of \( G_{a\beta} \) which interchanges 1 and \( j \) we know that there is \( A \in A(C_\beta) \) with \( A \not\leq N_1 \) and \( |A| = m \). From among all such \( A \) select one with \( |A \cap V_1| \) maximal. Then by the Thompson Replacement Theorem A is an offender on \( V_1 \). So, since \( [C_\beta : N_1] = 2 \) in the case \( \widehat{H}_1 \cong S_6 \) and by [ON] when \( \widehat{H}_1 \cong G_2(2) \), we have
\[
|AN_1/N_1| = |V_1/C_{V_1}(A)| = \begin{cases} 2 & \text{if } \widehat{H}_1 \cong S_6 \\ 2^3 & \text{if } \widehat{H}_1 \cong G_2(2) \end{cases}
\]
In particular, this yields that \( B = (A \cap N_1)V_1 \in A(C_\beta) \). Clearly, as \( V_1 \leq N_{1j}, \ B \leq \bar{N}_1 \) and \( |B| < |A| \). Hence \( B \in K(C_\beta) \) and thus \( B \leq N_{1j} \). In particular, \( A \cap N_1 \leq N_{1j} \) and so
\[
|V_{1j}/C_{V_{1j}}(A)| = |V_{1j}/V_{1j} \cap A| = |A/A \cap N_{1j}| = \begin{cases} 2 & \text{if } \widehat{H}_1 \cong S_6 \\ 2^3 & \text{if } \widehat{H}_1 \cong G_2(2) \end{cases}
\]
Therefore $V_{ij} = C_{V_i}(A)V_1$. Since $V_{ij}/V_1$ admits $H_1$ and $A \nsubseteq N_1$, using (9.2.6) gives $V_{ij} \leq \hat{H}_1$ which contradicts (9.2.9). From this contradiction we deduce that $C_T(N_{ij}) \nsubseteq N_{ij}$. If $C_T(N_{ij}) \leq N_1 \cap N_j$, then $C_T(N_{ij}) = C_{N_i}(N_{ij}) = C_{N_j}(N_{ij}) \leq H$, whence $C_T(N_{ij}) \leq N_{ij}$. Thus $C_T(N_{ij}) \nsubseteq N_i$ where $i \in \{1, j\}$. Appealing to (9.2.6) we infer that $G^2(H_\beta)$ centralizes $N_{ij}$ and then, by (9.2.6) again, we have proved (9.2.10).

One consequence of (9.2.5), (9.2.9) and (9.2.10) is that $U_1 \nsubseteq N_{ij}$ and that $U_1N_{ij}/N_{ij}$ contains the unique non-central $H_1$-chief factor in $N_1/N_{ij}$.

(9.2.11) $Z_a^* = Z_a$ and $Z_\beta = C_{Z_a}(G_{a\beta})$.

Since every $H_{1,a}$-chief factor of $N_1$ is contained in $U_1$ and $\eta(H_{1,a}, U_1(Q_a)) = 1$, $\eta(H_{1,a}, \Omega_1(Z(Q_a))) = 1$. Hence, as $Z(G_a) = 1$, Lemma 2.2 implies that $\Omega_1(Z(Q_a))$ is either a natural or a dual orthogonal module for $S_6$. In particular, (9.2.11) holds.

(9.2.12) Suppose that $[N_1, d_1] \nsubseteq N_j$ and $\gamma = \beta.d_1 \in \Delta(a)$. Then

(i) $[Z_a, d_1]K_\beta \leq U_1$, $|[Z_a, d_1]K_\beta| = 2^4$ and $Z_\alpha \cap [Z_a, d_1]K_\beta = [Z_a, d_1]$;

(ii) $[K_\gamma, C_\beta] \nsubseteq Z_a$; and

(iii) $U_1N_j = U_1N_1 = N_1N_j = C_\beta$.

Since $\eta(H_1, N_1) = 1$, $[Z_a, d_1] \subseteq [N_1, d_1] \subseteq U_1$. Now $[Z_a, d_1] = Z_\beta Z_\gamma$ and so $Z_\beta \leq U_1$. Now Lemma 9.1 implies that $K_\beta \leq [Z_a, d_1]^{H_\beta} \leq U_1$ and that $|[Z_a, d_1]K_\beta| = 2^4$. So (i) holds.

Because $\eta(G_\gamma, K_\gamma) = 1$ and $C_\beta \nsubseteq Q_\gamma$ from the structure of the amalgam $(H_{1,a}/N_1, H_\beta/N_1)$, part (ii) holds. Suppose that $U_1 \leq N_j$. Then $[N_1, d_1] \leq U_1 \leq N_j$, a contradiction. Hence (iii) holds by (9.2.5) (iii) and (iv).

(9.2.13) $\hat{H}_1 \nsubseteq S_6$.

Suppose that $\hat{H}_1 \cong S_6$. Then $[U_1, C_\beta]$ has order 2. Assume that $[N_1, d_1] \nsubseteq N_3$. Then, by (9.2.12) (iii) and by symmetry,

$[U_3, C_\beta] = [U_1, U_3] = [U_1, C_\beta]$.

Since also $[U_1, d_1] \nsubseteq N_4$, we have

$[U_1, C_\beta] = [U_1, U_4] = [U_4, C_\beta]$.

Therefore, $[U_1, C_\beta]$ is normalized by $\langle (1 \ 4)(2 \ 3), (3 \ 4), (5 \ 6) \rangle = G_{a\beta}/Q_a$. Hence, as $|[U_1, C_\beta]| = 2$, $[U_1, C_\beta] = Z_\beta$ by (9.2.11) and this contradicts (9.2.12) (ii).
Next suppose \([N_1, d_1] \not\leq N_2\) and that \([N_1, d_1] \leq N_3\). Applying (13)(24) to \([N_1, d_1] \not\leq N_2\) gives \([N_3, d_3] \not\leq N_4\). If \(U_3 \not\leq N_1\), then, as \(|C_{\beta}/N_1| = 2\), \([U_1, U_2] = [U_1, C_{\beta}] = [U_1, U_3]\) is normalized by \((1, 2), (13)(24), (5, 6) = G_{\alpha\beta}/Q_{\alpha}\) and we have the same contradiction as above. Therefore, \(U_3 \not\leq N_1\) and so \(U_3 U_1\) is normalized by \(H_1\) and, recalling that \([N_3, d_3] \not\leq N_4\),

\[
[U_3, N_1] \leq [U_3, U_4].
\]

If \([U_3, N_1] = 1\), then \(N_3 = N_1\) and so from the action of \(G_{\alpha\beta}\) on \(I\) we get \(N_1 = N_2 = N_3 = N_4 = 1\), which is impossible. Therefore, \([U_3, N_1] = [U_3, U_4]\) and conjugating by (1 2) we have \([U_3, U_4]\) is normalized by \((3, 4), d_1, d_2) \cong S_4 \times 2\). In particular, \([U_{1,2}, U_4] \leq Z_a\) and we have a contradiction to (9.2.12) (ii). We conclude that \(\tilde{H}_1 \not\cong S_6\).

By (9.2.5) (ii) and (9.2.13), to complete the proof of Theorem 9.2 it remains to eliminate the possibility \(\tilde{H}_1 \cong G_2(2)\). So assume that \(\tilde{H}_1 \cong G_2(2)\) and that \([N_1, d_1] \not\leq N_j\). Then from [ON], \([U_1 : C_{U_1}(C_{\beta})] = 2^3\) and so \(|C_{U_1}(C_{\beta})| = 2^4\) by (9.2.5) (iv). Now (9.2.12) (ii) implies that \(C_{U_1}(C_{\beta}) = K_{\beta}[Z_a, d_1]\). Set \(\gamma = \beta d_1\). Then \(1 \neq [K_{\gamma}, C_{\beta}] = [K_{\gamma}, U_j]\). Since \(U_j\) does not admit transvections from \(\tilde{H}_j\), we have that \(|[K_{\gamma}, C_{\beta}]| = 2^2\) by Lemma 9.1. It follows that \(Z_{\gamma} \leq [U_1, U_j]\) and hence

\[
C_{U_1}(U_j) = [U_1, U_j] = C_{U_j}(U_1).
\]

But then \([Z_a, d_j][Z_a, d_1] \leq C_{U_1}(C_{\beta})\) and this contradicts \(|Z_a \cap C_{U_1}(C_{\beta})| = 2^2\).

\(\square\)

10. Determination of b.

Again, let \((a, a') \in C\). From Theorem 9.2 we have that \([Z_a^* : Z_a^* \cap Z_{a+2}^*] > 2\). In this section we show that this situation leads to \(b \leq 3\), so establishing our main theorem. So, by Lemma 8.3 (i), we have \([Z_a^* : Y_{\beta}^*] > 2\). First we observe

**Lemma 10.1.** Suppose \(\tau \in O(S_3)\) and \(\lambda \in \Delta(\tau)\). Then no element in \(G_\tau \setminus G_\lambda\) centralizes a hyperplane of \(Z_{\lambda}^*\).

**Proof.** This follows from \([Z_a^* : Y_{\beta}^*] > 2\) and Lemma 8.3 (iii). \(\square\)

By Lemma 8.4 (iii), \(Z_a^*\) is a natural \(G_a/Q_a\)-module-this fact will be used without reference. The next lemma is also needed when we later investigate the \(b = 1\) and \(b = 3\) cases.
Lemma 10.2. If $b \geq 1$, then
(i) for each $a' + 1 \in \Delta(a') \setminus \{a' - 1\}$, $Z_{a'+1}^* \not\leq Q_\beta$;
(ii) $V_{a'}^* \not\leq Q_\beta$; and
(iii) $Z_a^* \not\leq Q_a$.

Proof. First we prove part (i), so we let $a' + 1 \in \Delta(a') \setminus \{a' - 1\}$. Assume that $Z_{a'+1}^* \leq Q_\beta$, and argue for a contradiction. Since $Z_a \not\leq Q_a$, Lemma 10.1 gives

$$[Z_{a'+1}^* : Z_{a'+1}^* \cap Q_a] \geq 4.$$ 

So $|Z_{a'+1}^* Q_a/Q_a| \geq 4$ and hence $[Z_{a'}^* : (Z_a^* \cap Q_{a'+1})] \geq [Z_a^* : C_{Z_a^*}(Z_{a'+1}^*)] \geq 4$ because a four subgroup of $S_6$ does not centralize any hyperplane of the natural module (see Proposition 2.5).

Set $R = [Z_a^* \cap Q_a, Z_{a'+1}^*]$. Clearly, $R \leq Z_a^* \cap Z_{a'+1}^*$. Since $[Z_a^* : C_{Z_a^*}(Z_{a'+1}^*)] \geq 4$, $R \neq 1$. Also $R \leq Z_a^* \cap Z_{a'+1}^* \leq C_{Z_{a'+1}^*}(Z_a^*)$ gives $R \leq Z_a^* \cap Z_{a'+1}^* = Y_a^*$, by Lemma 8.3. Hence $|R| = 2$ or 4. First we examine the case $|R| = 2$. If $Z_a^* \leq Q_a$, then we get $[Z_a^* : Z_a^* \cap Q_{a'+1}] \leq 2$ whereas $[Z_a^* : Z_a^* \cap Q_{a'+1}] \geq 4$. So $Z_a^* \not\leq Q_a$. Now choose $t \in Z_a^* \setminus Q_a$. Looking at $Z_{a'+1}^*$ acting on $Z_a^*$, we have that $Z_{a'+1}^*$ leaves $R$ invariant and, by Proposition 2.5 (ii), $|[Z_a^*, Z_{a'+1}^*]| \leq 4$. Hence $Z_{a'+1}^*$ acts (quadratically) on the 3-space $Z_a^*/R$ with $|[Z_a^*/R, Z_{a'+1}^*]| \leq 2$. As a consequence there exists $X \leq Z_{a'+1}^*$ with $[Z_{a'+1}^*:X] \leq 2$ and such that $[t,X] \leq R$. Therefore $t$ normalizes $XR$, whence $XR \leq Y_a^*$ by Lemma 8.3 (iii) which contradicts $|Y_a^*| \leq 4$. Thus $|R| = 2$ and so we have $|R| = 4$. Because $|Z_{a'+1}^* Q_a/Q_a| \geq 4$, $|[Z_a^*, Z_{a'+1}^*]| \geq 4$ by Proposition 2.5 (ii) which, as $|R| = 4$, forces $R = [Z_a^*, Z_{a'+1}^*]$. Therefore

$$[Z_a^*, Z_{a'+1}^*] = R \leq Z_{a'-1}^* \cap Z_{a'+1}^* = Y_a^*.$$ 

Thus $Z_a^*$ normalizes $Z_{a'+1}^*$ and so $Z_a^* \leq Q_{a'}$ by Lemma 8.3 (iii). Since

$$R \leq [Z_a^* \cap Q_{a'}, Z_{a'+1}^*] \leq Z_a^* \cap Z_{a'+1}^* \leq C_{Z_{a'+1}^*}(Z_a^*)$$

and $Z_a \not\leq Q_a$, Lemma 10.1 and $|R| = 4$ imply that

$$[Z_a \cap Q_{a'}, Z_{a'+1}^*] = R \leq Z_a^*.$$ 

So $Z_{a'+1}^*$ centralizes a hyperplane of $Z_{a}/Z_a^*$ and therefore, because $|Z_{a'+1}^* Q_a/Q_a| \geq 4$, $\eta(G_a, Z_a/Z_a^*) = 0$.

Because $Z_a^* \leq Q_{a'}$ and $(a,a') \in C$, $Z_a^* < Z_a$. However, combining Lemmas 8.2 (ii) and 2.4, we then have $Z_a \cong \left(\frac{1}{4}\right)$. But by Proposition 2.6 (ii),

$$\langle C_{Z_a}(G_{a'}, G_a) \rangle \neq Z_a$$

contrary to the definition of $Z_a$. With this contradiction we have completed the proof of part (i).
Clearly part (i) gives part (ii). Also part (i) implies that for \( a' + 1 \in \Delta(\alpha') \setminus \{ a' - 1 \} \), \( (a' + 1, \beta) \in C \). Thus applying (i) to this critical pair we obtain that \( Z'_a \not\subseteq Q_a' \), so proving the lemma.

\[ \square \]

**Lemma 10.3.**

(i) \( Z_a = Z'_a \) is a natural \( G_a/Q_a \)-module.

(ii) For \( \lambda \in \Delta(\beta) \setminus \{ a \} \), \( Y_\beta = Z_a \cap Z'_\lambda \cong E(2^2) \).

**Proof.** We begin by establishing part (i). Pick \( a' + 1 \in \Delta(\alpha') \setminus \{ a' - 1 \} \).

Let \( t \in Z_a' \setminus Q_a' \). Then, using Lemma 8.3 (iii),

\[
Y_a' \leq Z_a'+1 \cap Z_a'-1 \leq Z_a'+1 \cap Q_a \leq C_{Z_a+1}(t) \leq Y_a'.
\]

So, for every \( t \in Z_a' \setminus Q_a' \), \( Z_a'+1 \cap Q_a = C_{Z_a+1}(t) \). Set \( B = Z_a'+1 \cap Q_\beta \). We claim that at most one element in \( BQ_a/Q_a \) acts as a transvection on \( Z_a' \). For suppose \( t_1, t_2 \in B \) are such that \( t_1Q_a \) and \( t_2Q_a \) are distinct transvections on \( Z_a' \).

Then without loss of generality \( C_{Z_a'}(t_1) \neq Z_a' \cap Q_a' \) and so we may find \( t \in Z_a' \setminus Q_a' \) with \( t \in C_{Z_a'}(t_1) \). Hence \( t_1 \in C_{Z_a'+1}(t) = Z_a'+1 \cap Q_a \). But then \( [Z_a', t_1] = 1 \) whereas \( t_1 \) acts as a transvection on \( Z_a' \), so verifying the claim.

Since \( B \) acts quadratically on \( Z_a' \) we infer that \( |BQ_a/Q_a| \leq 4 \). A symmetric argument yields that \( |Z_a \cap Q_a / Q_a' / Q_a'^{-1} / Q_a'^{-1}| \leq 4 \). Then we conclude that \( [Z_a : Z_a \cap Q_a' \cap Q_a'^{-1}] \leq 2^3 \) and so \( [Z_a : C_{Z_a}(B)] \leq 2^3 \).

We now show that \( \eta(G_a, Z_a/Z_a') = 0 \). If \( |BQ_a/Q_a| = 4 \), then \( [Z_a : C_{Z_a}(B)] \leq 2^3 \) gives \( \eta(G_a, Z_a/Z_a') = 0 \). So we may assume that \( |BQ_a/Q_a| \leq 2 \). By Lemma 10.2 (i) \( G_{\beta a+2} = Q_\beta Z_a'^{-1} = Q_\beta Z_a' \) from which it follows that \( Z_a'^{-1} = Z^{-1}_{a+1} (Z_a+1 \cap Q_\beta) \). Now Lemma 10.1 implies that \( Z_a'^{-1} \cap Q_\beta \not\subseteq Q_a \), whence \( BQ_a = (Z_a'^{-1} \cap Q_\beta)Q_a \) from which we get \( B = Z_a'^{-1} \cap Q_\beta = (Z_a'^{-1} \cap Q_\beta) \cap Q_a \).

So \( Z_a'^{-1} = Z_a'^{-1} (Z_a'^{-1} \cap Q_a \cap Q_\beta) \).

Since \( (a' + 1, \beta) \in C \), \( Z_a \cap Q_a \not\subseteq Q_a' \) by Lemma 10.1 and hence, as \( Z_a \cap Q_a \) centralizes \( Z_a'^{-1} / Z_a'^{-1} \), \( \eta(G_a, Z_a'/Z_a'^{-1}) = 0 \).

From \( \eta(G_a, Z_a/Z_a') = 0 \) we deduce that either \( Z_a = Z_a' \) or \( Z_a \cong \left( \frac{1}{4} \right) \).

The latter is impossible as, by Proposition 2.6 (ii), we have \( \langle C_{Z_a}(G_a) \rangle \neq Z_a \). So we conclude that \( Z_a = Z_a' \), which establishes (i).

Moving on to part (ii), if \( |(Z_a'+1 \cap Q_\beta)Q_a/Q_a| \geq 4 \), then Lemma 10.2 (iii) and \( Z_a \not\subseteq Q_a \) forces \( [Z_a, Z_a'+1 \cap Q_\beta] \leq Z_a \cap Z'_\lambda \), which yields (ii). While, if \( |(Z_a'+1 \cap Q_\beta)Q_a/Q_a| \leq 2 \), then (ii) again follows from Lemma 10.2 (iii) since it gives \( Z_a'+1 \cap Q_\beta \cap Q_a \leq Y_a' \) and \( |Z_a'+1 \cap Q_\beta \cap Q_a| \leq 4 \).
LEMMA 10.4.
(i) \( \eta(G_\beta, V_\beta) = 2 \).
(ii) \( |V_\beta, V_{\alpha'}| \geq 2^3 \).
(iii) \( |Y_\beta[V_\beta, Q_\alpha]| \leq 2^4 \).

PROOF. Lemma 10.3 (ii) immediately gives \( \eta(G_\beta, V_\beta) = 2 \). Hence, as
\( V_{\alpha'} \not\subseteq Q_\beta \), \( |[V_\beta, Y_\beta, V_{\alpha'}]| \geq 2^2 \). From \( 1 \neq [V_{\alpha'} \cap Q_\beta, Z_a] \leq Y_\beta \) we then see that
\( |[V_\beta, V_{\alpha'}]| \geq 2^3 \).

Since \( V_{\alpha'} \not\subseteq Q_\beta \), using the transitivity of \( G_\beta \) on \( \Delta(\beta) \) we may find an
involution \( t \in Q_a \setminus Q_\beta \). Thus \( Q_a = (Q_a \cap Q_\beta) \langle t \rangle \) with \( t \) interchanging the vertices in \( \Delta(\beta) \setminus \{a\} \). Hence, \( [V_\beta, Q_a] = [V_\beta, Q_a \cap Q_\beta][V_\beta, t] \) and \( |[V_\beta, t]| = 2^2 \). Because
\( [V_\beta, Q_a \cap Q_\beta] = 1 \), \( [V_\beta, Q_a \cap Q_\beta] = [Z_{a+2}, Q_a \cap Q_\beta][Z_\Delta, Q_a \cap Q_\beta] \leq \leq Y_\beta \), where \( \Delta(\beta) = \{a, a+2, \lambda\} \). Therefore, as \( |Y_\beta| = 2^2 \), \( |Y_\beta[V_\beta, Q_a]| \leq \leq 2^4 \). \( \square \)

The following property of \( S_p(2)(\cong S_5) \) will be deployed in Lemma 10.6.

LEMMA 10.5. Suppose \( H \cong S_p(2) \) and that \( V \) is a natural \( S_p(2) \)-
module for \( H \). Then there exists a maximal subgroup \( L \) of \( H \) such that
(i) \( L \cong S_5 \);
(ii) \( L \) contains no transvections (on \( V \))
(iii) \( V \) is a natural \( SL_2(4) \)-module for \( L' \); and
(iv) there are 5 isotropic 2-subspaces \( W_1, \ldots, W_5 \) of \( V \) which are
permuted by \( L \) and such that \( V = \bigcup_{i=1}^{5} W_i \) and \( W_i \cap W_j = 0 \) for \( i \neq j \).

PROOF. Choose \( L \) to be a maximal subgroup of \( H \) with \( L \cong S_5 \) and so as
for \( \langle g \rangle \in Syl_3(L), C_V(g) = 0 \). Then \( V \) is a natural \( SL_2(4) \)-module for \( L' \) and
we also get (ii). Further \( \{C_V(R) | R \in Syl_2(L') \} \) is a partition of \( V \) consisting of
5 isotropic 2-subspaces of \( V \) (that they are isotropic follows from
\( \text{Stab}_{L}(C_V(R)) \cong S_4 \)), and the lemma is proved. \( \square \)

Since \( |Y_\beta| = 4 \) by Lemma 10.3 (ii), \( Y_\beta = [Z_a, G_{a\beta} ; 2] \) and so, by Proposition 2.8 (i), \( Y_\beta \) is an isotropic 2-subspace of \( Z_a \). Now \( G_a \) acts transitively on
the isotropic 2-subspaces of \( Z_a \) and therefore we may find a subgroup \( L_a \) of
\( G_a \) such that \( L_a/Q_a \cong S_5 \) has the properties in Lemma 10.5 with \( Y_\beta \) a
member of the partition given in Lemma 10.5 (iv).

Set \( \hat{U}_a = \langle V_{\beta}^{L_a} \rangle \). Now fix \( a - 1 = \beta g \) where \( g \in L_a \) is such that
\( Y_{a-1} \cap Y_\beta = 1 \). Also choose, and fix, \( a' + 1 \in \Delta(a') \setminus \{a' - 1\} \). Since
\( |Y_\beta| = 2^2 \), if \( [X, Y_\beta] = 1 \) and \( X \leq Q_\beta \), then \( [V_\beta, X] \leq Y_\beta \)-we shall use this
fact without further reference.
**Lemma 10.6.** If \( b \geq 5 \), then

(i) \( C_{Z_a}(Z_{a'}^{-1}) = Y_\beta \) and \( C_{Z_{a'}^{-1}}(Z_a) = Y_{a'} \);

(ii) \( Y_a \cap V_{a'} \neq 1 \);

(iii) \( V_{a^{-1}} \leq Q_{a^{-1}} \); and

(iv) \( Y_{a'} \neq Y_\beta \).

**Proof.** Part (i) follows from \( Z_{a'}^{-1} \not\leq Q_\beta \) and Lemma 10.1. From part (i) and \( |Y_{a'}| = 4 \) we get that \( Z_{a'}^{-1} \cap Q_\beta \not\leq Q_a \). Thus

\[
1 \neq [Z_a, Z_{a'}^{-1} \cap Q_\beta] \leq Z_a \cap V_{a'} \leq C_{Z_a}(Z_{a'}^{-1}) \cap V_{a'} = Y_\beta \cap V_{a'},
\]

and so (ii) holds.

We next prove (iii). If there exists \( a - 2 \in \Delta(a - 1) \) such that \( (a - 2, a' - 2) \in \mathcal{C} \), then by part (ii) applied to \( (a - 2, a' - 2) \) we have \( Y_a^{-1} \cap V_{a^{-2}} \neq 1 \). Because \( b \geq 5 \) \( \{ Y_a^{-1} \cap V_{a^{-2}}, Z_{a^{-1}} \} = 1 \) and so \( Y_a^{-1} \cap V_{a^{-2}} \leq C_{Z_a}(Z_{a'}^{-1}) = Y_\beta \) which is impossible as \( Y_a^{-1} \cap Y_\beta = 1 \). Thus we conclude that \( V_{a^{-1}} \leq Q_{a^{-2}} \). We further deduce that \( (a' - 1, a - 1) \not\in \mathcal{C} \) as otherwise, using Lemma 10.2 (i), we get \( (a - 2, a' - 2) \in \mathcal{C} \) for some \( a - 2 \in \Delta(a - 1) \) whereas \( V_{a^{-1}} \leq Q_{a^{-2}} \). So \( Z_{a^{-1}} \leq Q_{a^{-1}} \). Clearly \( Z_{a^{-1}} \) centralizes \( V_{a^{-1}} \) and hence \( [V_{a^{-1}}, Z_{a^{-1}}] \leq Y_{a^{-1}} \). Also, as \( V_{a^{-1}} \leq Q_{a^{-2}} \leq G_{a^{-1}} \), \( [V_{a^{-1}}, Z_{a^{-1}}] \leq Z_{a^{-1}} \). Therefore, using (i),

\[
[V_{a^{-1}}, Z_{a^{-1}}] \leq Y_{a^{-1}} \cap Z_{a^{-1}} \leq Y_{a^{-1}} \cap C_{Z_a}(Z_{a'}^{-1}) = Y_a^{-1} \cap Y_\beta = 1.
\]

Consequently, \( V_{a^{-1}} \leq Q_{a^{-1}} \), as required.

Finally, assume that \( Y_{a'} = Y_\beta \). Then \( [Z_a \cap Q_{a'}, Z_{a'}^{-1}] \leq Y_{a'} = Y_\beta \). Hence, \( Z_{a'} \) normalizes \( Z_a \cap Q_{a'} \) and so Lemmas 10.2 (i) and 8.3 (iii) force \( Z_a \cap Q_{a'} \leq Y_\beta \), a contradiction. Therefore, \( Y_{a'} \neq Y_\beta \).

**Lemma 10.7.** Suppose that \( b \geq 5 \) and \( Y_{a'} \neq Y_{a'^{-2}} \). Then \( U_a \leq C_{G_{a'}}(Y_{a'}) \).

In particular, if \( b \geq 5 \), \( [U_a \cap Q_{a'}, V_{a'}] \leq Y_{a'} \).

**Proof.** From \( Y_{a'} \neq Y_{a'^{-2}} \) we see that \( Y_{a'}Y_{a'^{-2}} \) is a subgroup of \( Z_{a'}^{-1} \) of order at least 8. Suppose \( [U_a, Y_{a'}] = 1 \). Then \( [U_a, Y_{a'}Y_{a'^{-2}}] = 1 \), whence \( U_a \leq Q_{a'^{-2}} \leq G_{a'}^{-1} \) by Lemma 10.1. This then gives

\[
U_a \leq C_{G_{a'^{-1}}}(Y_{a'}) \leq G_{a'^{-1} a'}^{-1},
\]

and the lemma follows. Thus we may assume that \( [U_a, Y_{a'}] \neq 1 \). In particular, \( Y_{a'} \not\leq V_{a'} \).

According to Lemma 10.6 (iii), \( \hat{U}_{a} \leq Q_{a'^{-1}} \leq G_{a'} \). Hence

\[
\hat{U}_{a} = Z_{a}(\hat{U}_{a} \cap Q_{a'}).
\]
Set $R = [Z_a, V_a \cap Q_a']$. Then $R \neq 1$ and, by Lemma 10.6 (i), $R \leq Y_a$. Since $Y_a \leq V_a$, $|R| = 2$ which implies that $V_a' \cap Q_a'$ centralizes a hyperplane, say $X$, of $Z_a'.1$. So $[V_a' : C_{V_a'}(X)] \leq 2$ and thus, as $\eta(G_a', V_a') = 2$ by Lemma 10.4 (i), $X \leq Q_a$. Hence $X = Z_a^{a+1} \cap Q_a$. In particular, $[Z_a^{a+1} \cap Q_a, Z_a \cap Q_a'] = 1$ which gives that $Z_a^{a+1} \cap Q_a$ acts as a transvection on $Z_a$.

Observing that $[\bar{U}_a \cap Q_a', Z_a^{a+1} \cap Q_a] \leq Y_a'$, it follows that either $R[\bar{U}_a \cap Q_a', Z_a^{a+1} \cap Q_a] = Y_a'$ or $[\bar{U}_a \cap Q_a', Z_a^{a+1} \cap Q_a] = R$. The former case yields $[U_a, Y_a'] = 1$, so the latter must hold. As a consequence $Z_a^{a+1} \cap Q_a$ normalizes $Z_a(\bar{U}_a \cap Q_a) = \bar{U}_a$. From Lemma 10.5 (ii) $L_a/Q_a$ contains no transvections and therefore $\langle L_a, Z_a^{a+1} \cap Q_a \rangle = G_a$. Thus $U_a = \bar{U}_a$, a contradiction since $[\bar{U}_a, Y_a'] = 1$.

Finally, $U_a \cap Q_a'$ centralizes $Y_a'$ (especially if $Y_a' = Y_a'-2$) and so $[U_a \cap Q_a', V_a'] \leq Y_a'$.

**Lemma 10.8.** Suppose $b \geq 5$. Then for all $(a, a') \in C$, $U_a \not\leq G_a'$.

**Proof.** Suppose the lemma is false. Then we have an $(a, a') \in C$ with $U_a \leq G_a'$. Put $R = [V_a, V_a']$, and let $\Delta(\beta) = \{a, a, a + 2\}$.

Since $U_a = V_a(U_a \cap Q_a')$ we also have $[U_a, V_a'] \leq Y_a R \leq Y_a[V_a', Q_a'-1]$ by Lemma 10.7. Also, by the minimality of $b$, $U_a+2 \leq Q_a'-1$ and hence $[U_a+2, V_a'] \leq [Q_a'-1, V_a']$. Since $V_a' \not\leq Q_a$ by Lemma 10.2 (ii), we see that $[W_{\beta}, V_a'] \not\leq [Q_a'-1, V_a']Y_a'$. In view of Lemma 10.4 (ii), (iii) we have

\[
(W_{\beta}, V_a', V_a'] \leq 2.
\]

Because $V_a' \not\leq Q_a$ there exists an involution $x \in V_a'$ which interchanges $a$ and $a$. Hence, $[U_a U_a / V_a, x] \leq 2$ by (10.8.1). Since $V_{\beta} \leq U_a \cap U_a$, then we infer that $[U_a : U_a \cap U_a] \leq 2$ and so, as $G_{\beta}$ is 2-transitive on $\Delta(\beta)$, we have

\[
(10.8.2) \quad \text{For } \gamma \in O(S_5) \text{ with } \Delta(\gamma) = \{a, a, a\}, \quad [U_{a i} : U_{a i} \cap U_{a j}] \leq 2 \quad \text{for } i \neq j, \quad i, j \in \{1, 2, 3\}.
\]

From the minimality of $b$, $[Z_a, U_{a'-3}] = 1$ and hence $[Z_a, U_{a'-3} \cap U_{a'-1}] = 1$. So $[U_{a'-1}, C_{U_{a'-1}}(Z_a)] \leq 2$ by (10.8.2). Therefore, $[V_{a'} : C_{V_{a'}}(Z_a)] \leq 2$ and so $\eta(G_{a'}, V_{a'}) \leq 1$, contradicting Lemma 10.4 (i). This completes the proof of Lemma 10.8.

**Proof of the Main Theorem.** If $b \geq 5$, then combining Lemmas 10.7 and 10.8 gives $U_a \not\leq G_{a-1}'$ and $Y_a = Y_a'-2$ for all $(a, a') \in C$. Thus for $(a, a') \in C$, $U_a \not\leq Q_{a'-2}$, thence $(a - 2, a' - 2) \in C$ for some $a - 2 \in \Delta^2(a)$.
And then \(Y_{a'-4} = Y_{a'-2} = Y_{a'}\). Continuing in this fashion we obtain \(Y_{b} = Y_{a'}\) which is against Lemma 10.6 (iv). Thus we have shown that \(b \in \{1, 3\}\).

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