

# Initial Ideals of Closed Determinantal Facet Ideals

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## Notation

- $S = k[x_{i,j} \mid 1 \leq i \leq n, 1 \leq j \leq m]$  where  $n \leq m$  and  $k$  is any field.
- $M$  is a generic  $n \times m$  matrix of variables in  $S$ .
- $<$  denotes standard lexicographic order in  $S$ ; that is, lexicographic order with  $x_{11} < \dots < x_{1m} < x_{21} < \dots < x_{nm}$ .
- $[\mathbf{b}] = [b_1, \dots, b_n]$  a maximal minor of  $M$  corresponding to columns  $b_1, \dots, b_n$ , where  $1 \leq b_1 < b_2 < \dots < b_n \leq m$ .
- Let  $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{Z}^n$ . Define  $|\alpha| := \sum_{i=1}^n \alpha_i$  and  $\alpha_{\leq i} := (\alpha_1, \dots, \alpha_i)$ , where  $\alpha_{\leq i} = \emptyset$  if  $i \leq 0$  and  $\alpha_{\leq i} = \alpha$  if  $i \geq n$ .

## Preliminaries

- Assume throughout that  $\Delta$  is a pure  $(n-1)$ -dimensional simplicial complex on  $m$  vertices.
- A **determinantal facet ideal**  $J_\Delta \subseteq S$  is the ideal generated by determinants of the form  $[\mathbf{b}]$  where  $\mathbf{b}$  supports an  $n-1$  face of  $\Delta$ ; that is, the columns of  $[\mathbf{b}]$  correspond to the vertices of some facet  $\sigma \in \Delta$ .
- When  $n=2$ ,  $\Delta$  corresponds to a graph  $G$  and  $J_G$  is called a **binomial edge ideal**.
- A simplicial complex  $\Delta$  is said to be **closed** (with respect to a given labeling) if it satisfies any one of the following equivalent conditions:
  - 1 The minors generating the determinantal facet ideal  $J_\Delta$  forms a Gröbner basis with respect to lexicographic order.
  - 2 For any two facets  $F = \{a_1 < \dots < a_n\}$  and  $G = \{b_1 < \dots < b_n\}$  with  $a_i = b_i$  for some  $i$ , the  $(n-1)$ -skeleton of the simplex on the vertex set  $F \cup G$  is contained in  $\Delta$ .
- The **clique complex**  $\Delta^{\text{clique}}$  of  $\Delta$  has as facets all maximal sets of vertices of  $\Delta$  such that every possible choice of  $n$  vertices in a facet of  $\Delta^{\text{clique}}$  is a face of  $\Delta$ .

## Betti Numbers of Initial Ideals

For any closed determinantal facet ideal, the initial ideal is of degree  $n$  and squarefree, so that Stanley-Reisner theory may be employed to compute the  $\mathbb{Z}^{nm}$ -graded Betti numbers.

**Notation.** Let  $\text{in}(J_\Delta)$  denote the initial ideal with respect to  $<$  of  $J_\Delta$ .

### Theorem (AV20)

Let  $\Delta$  be a pure  $(n-1)$ -dimensional simplicial complex which is closed. Then the  $\mathbb{Z}^{nm}$ -graded Betti numbers of  $\text{in}(J_\Delta)$  are either 0 or 1.

**Idea of Proof.** We study the Stanley-Reisner complex  $\Gamma$  of  $\text{in}(J_\Delta)$ . We observe that the restriction of  $\Gamma$  to monomials of certain forms is homotopy equivalent to a sphere, and that the restriction of  $\Gamma$  to any other monomial is contractible. By Hochster's formula, the result follows.

### When is $\beta_{i,j}(J_\Delta) = \beta_{i,j}(\text{in}(J_\Delta))$ ?

**Fact.** Let  $I$  be a homogeneous ideal in a polynomial ring  $k[x_1, \dots, x_n]$  over a field  $k$ . For any term order  $<$ ,  $\beta_{i,j}(I) \leq \beta_{i,j}(\text{in}(I))$  for any  $i, j$ . However, it is rare for equality to hold.

### Theorem (AV20)

Let  $\Delta$  be a pure  $(n-1)$ -dimensional simplicial complex which is closed. When  $n > 2$ , the standard graded Betti numbers of  $J_\Delta$  and  $\text{in}(J_\Delta)$  coincide.

**Idea of Proof.** By [1], the Betti table of an ideal can always be obtained from the Betti table of one of its initial ideals via consecutive cancellations. By analyzing the nonzero Betti numbers of the initial ideal, we show that consecutive cancellations are never possible when  $n > 2$ .

## Linear Strand of $\text{in}(J_\Delta)$

**Definition.** Let  $\alpha = (\alpha_1, \dots, \alpha_n)$  with  $|\alpha_i| = \ell$  and  $I = (i_1 < \dots < i_{n+\ell})$ . Define the indexing set

$$\mathcal{I}_{<}(\alpha, I) := \{(i, I_{i+j}) \mid i \in \{k \mid \alpha_k > 0\}, |\alpha_{\leq i-1}| \leq j \leq |\alpha_{\leq i}|\}.$$

**Definition.**  $\mathcal{C}_0^<(\Delta, M) := \Lambda^n G$ . For  $i \geq 1$ , let  $\mathcal{C}_i^<(\Delta, M) \subseteq D_{i-1}(G^*) \otimes \Lambda^{n+i-1} F$  denote the free submodule generated by all elements of the form

$$g^{*(\alpha)} \otimes f_\sigma,$$

where  $\sigma \in \Delta^{\text{clique}}$  with  $|\sigma| = n+i-1$  and  $\alpha = (\alpha_1, \dots, \alpha_n)$  with  $|\alpha| = i-1$ . Let  $\mathcal{C}_\bullet^<(\Delta, M)$  denote the complex induced by the differentials

$$d_\ell(g^{*(\alpha)} \otimes f_\sigma) = \sum_{\{i \mid \alpha_i > 0\}} \sum_{(i, \sigma_j) \in \mathcal{I}_{<}(\alpha, \sigma)} (-1)^{j+1} x_{i, \sigma_j} g^{*(\alpha - \epsilon_i)} \otimes f_{\sigma \setminus \sigma_j}$$

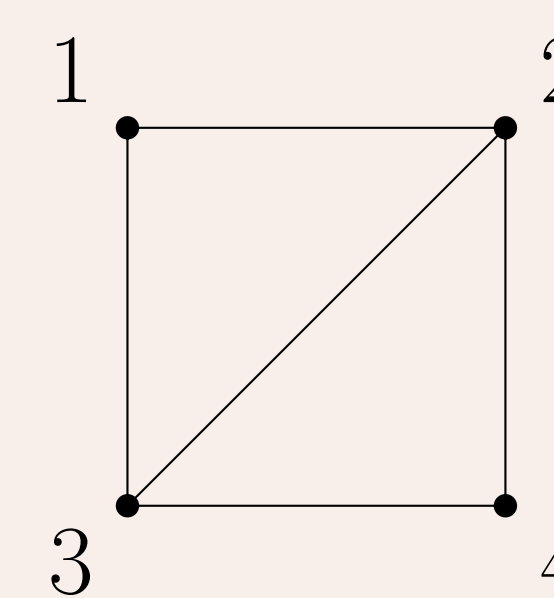
on the submodules defined above.

### Theorem (AV20)

Assume that  $\Delta$  is an  $(n-1)$ -pure closed simplicial complex. Let  $F_\bullet$  denote the minimal graded free resolution of  $\text{in}(J_\Delta)$  and let  $F_\bullet^{\text{lin}}$  denote its linear strand; then

$$F_\bullet^{\text{lin}} \cong \mathcal{C}_\bullet^<(\Delta, M).$$

**Example 1.** Let  $G$  be the closed graph below, so  $J_G = \{[1, 2], [1, 3], [2, 3], [2, 4], [3, 4]\}$ .



The clique complex of  $G$  has facets  $\{1, 2, 3\}$  and  $\{2, 3, 4\}$ . Consider the basis element

$$g^{*(\alpha)} \otimes f_\sigma$$

where  $\alpha = (0, 1)$  and  $\sigma = \{2, 3, 4\}$ . Then

$$\mathcal{I}_{<}(\alpha, \sigma) = \{(2, 3), (2, 4)\}$$

so

$$d_2(g^{*(\alpha)} \otimes f_\sigma) = x_{2,3} f_{2,4} + x_{2,4} f_{2,3}.$$

## When is $\beta_{i,j}(J_G) = \beta_{i,j}(\text{in}(J_G))$ ?

- It is still not known if, in general, the standard graded Betti numbers of closed binomial edge ideals and their initial ideals coincide. This was first conjectured in [2], where it is shown that they coincide for Cohen-Macaulay binomial edge ideals.
- For any closed graph  $G$ , it is known that the extremal betti numbers of  $J_G$  and  $\text{in}(J_G)$  coincide by [3].
- Our work combined with [4] implies that the linear strands of  $J_G$  and  $\text{in}(J_G)$  have the same graded Betti numbers.
- **Theorem (AV20).** Let  $G$  be the graph obtained by removing the edge  $\{1, m\}$  from the complete graph on  $m$  vertices (as in Example 1). Then  $G$  is closed and  $\beta_{i,j}(J_G) = \beta_{i,j}(\text{in}(J_G))$  for all  $i, j$ .

## References

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- [4] Jürgen Herzog, Dariush Kiani, and Sara Saeedi Madani. The linear strand of determinantal facet ideals. *The Michigan Mathematical Journal*, 66(1):107–123, 2017.

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Determinantal facet ideals for the case  $n = 2$  were originally introduced as binomial edge ideals independently by Ohtani [15] and Herzog, et. al. [12]; this generalized work of Diaconis, Eisenbud, and Sturmfels in [8]. To study binomial edge ideals, one can associate each column of  $M$  with a vertex of a graph  $G$ , and one can associate a minor of  $M$  with a minor of  $G$ . Date: June 26, 2020.  $\hat{A}$  3. Multigraded Betti Numbers of Closed Determinantal Facet Ideals. In this section we study the initial ideals generated by arbitrary collections of maximal minors of an  $n \times m$  matrix of indeterminates in the case where the set of generators forms a Gröbner basis for the ideal. In this case, the initial ideal is of degree  $n$  and squarefree, so that Stanley-Reisner theory may be employed to compute the  $\mathbb{Z}^m$ -graded Betti numbers.

Abstract: We study resolutions of initial ideals of closed determinantal facet ideals with respect to standard lexicographic order. We show that the multigraded Betti numbers of these ideals are always  $\$0\$$  or  $\$1\$$ , regardless of the characteristic of the field. In addition, we show that the standard graded Betti numbers of closed determinantal facet ideals and their initial ideals coincide when generators of the ideal come from maximal minors of a generic  $n \times m$  matrix with  $n > 2$ . Next, we give lower bounds on the Betti numbers of certain classes of ideals of initial terms of the generators of determinantal facet ideals with respect to arbitrary term orders. Those ideals provide a class of binomial ideals associated to graphs in the following way. Let  $G$  be a finite simple graph on the vertex set  $[n]$  and edge set  $E$ . Let  $K$  be a field and  $S = K[x_1, \dots, x_n, y_1, \dots, y_n]$  the polynomial ring over  $K$  with the indeterminates  $x_1, \dots, x_n, y_1, \dots, y_n$ , and let  $f_{ij} := x_i y_j - x_j y_i$  for  $1 \leq i < j \leq n$ . Indeed, they determined the structure of the linear strand of determinantal facet ideals, and in particular binomial edge ideals. The desired complex which is the linear strand of a binomial edge ideal is called the Eagon-Northcott complex in [17]. Numbers of binomial edge ideal of a closed graph (with the label for which  $G$  is closed), and the ones of the initial ideal. Conjecture 5.5 [10, Page 67] Let  $G$  be a closed graph. Then.