

Preface: Flood-risk analysis and integrated management

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1 Introduction

Despite significant efforts at the local, national, and global levels to reduce the negative impacts from natural hazards, global flood losses have been increasing in recent decades, and floods remain the most destructive and frequent natural hazards in the world (World Bank, 2012). In Europe alone, floods between 1970 and 2015 affected more than 16 million people and caused the death of 4682 people according to the EM-DAT database (Guha-Sapir et al., 2015; retrieved by the authors on 10 February 2016). Single flood events such as the one in 2013 along the Elbe and Danube rivers in Germany can cause EUR billions of losses and can have severe impacts on the flood-affected population, transportation systems and business operations (Thieken et al., 2016; DKKV, 2015). Increasing flood losses in the past have been mainly attributed to the growing amount of people and economic assets in flood-prone regions (Barredo, 2009; Brouwer, 2010). Despite the risk of flooding, the latter provide otherwise favourable conditions, such as access to fresh water and means for transportation (Kummu et al., 2011). In the future, the trend in increasing losses could be further aggravated due to the increasing frequency and intensity of some climate-related hazards in several regions (IPCC, 2013). For instance, flood frequencies along the Rhine, Europe's largest and economically most important river, could increase in coming decades owing to the effects of global warming on water resources (te Linde et al., 2010).

In light of continuously high flood losses, traditional flood protection strategies that primarily focused on prevention by means of flood defense structures such as dikes have been increasingly challenged and replaced by integrated risk

management concepts in Europe and many other countries (Bubeck et al., 2015). These integrated concepts take into account that flood defenses can fail and therefore complement traditional flood protection with additional policies and measures (including e.g. zoning, building codes, flood insurance) that aim at alleviating the residual risk, i.e. potential flood impacts, such as loss of life, economic or cultural losses. This shifted focus towards the risk of flooding (probability exposure vulnerability) poses distinct challenges for both, scientific approaches to analyse and assess the multi-faceted aspects of flood-risk and its integrated management. Traditional protection strategies primarily required scientific insights into hazard frequencies, design discharge levels and technical knowledge for the construction of flood defense infrastructure. Flood hazard assessment and management then was mainly a task of engineers, hydrologists and statisticians (Merz et al., 2014).

In integrated flood-risk management strategies, a plethora of additional aspects comprising the entire risk chain need to be considered: in addition to hazard analysis, insights are required into people, environmental goods and services, economic assets and the cultural heritage potentially exposed to floods (e.g. Fuchs et al., 2015) and the vulnerability of the exposed elements in case a flood occurs, such as residential buildings (e.g. Elmer et al., 2010). Moreover, there is an increasing demand for risk assessments that evaluate insights into hazards, consequences and vulnerabilities in an integrated way (Aerts et al., 2014; Thieken et al., 2014; Merz et al., 2014). Also, a good understanding of perceptual and behavioural aspects, possibly leading to higher or lower exposure and vulnerabilities, is needed for a comprehensive and sustainable risk management (Ludy and Kon-

dolf, 2012; Bubeck et al., 2012, 2013; Kreibich et al., 2011, step, the pre- and post- flood images are adaptively histogram equalized. In the second step, the hidden details in difference image are enhanced using contrast-based enhancement (projected) changes in climate, exposure and vulnerability and histogram smoothing. In the third step, the flood map (Merz et al., 2014; Bubeck et al., 2011; Jongman et al., 2015) is generated using equalized pre-, post- and difference images. Besides, the shift to integrated flood-risk management has been successful. Simulation results show an improved visualisation by significantly enlarged the number of stakeholders that need maintaining the natural smoothness. Validations of the new to be involved and “managed” themselves. This includes, approach were successfully undertaken. Rapid evaluations among others, citizens, spatial planners, environmentalists based on reliable inundation maps of flood events can support professional associations and public authorities. In European efficient response both in emergency management and in for instance, participation is now also formally required in financial compensation and reconstruction planning. accordance with Article 9(3) of the EU Floods Directive (EC Floods following a dike breach can be especially disastrous and pose a risk to economic assets and life, given the estimated parties [.]” (Newig et al., 2014; Albrecht, 2016). potentially fast-rising water levels (Miller et al., 2015). The

The special issue “Flood risk analysis and integrated management”, which was organized in the aftermath of the 2nd European Conference on FLOOD Risk Management, aimed at providing a series of contributions that address these diverse topics, covering a wide range of different geographic regions. The breach development process and the flow and sediment transport after a levee breach due to overtopping was examined by Dou et al. (2014). To explore the breach development processes, a flow and sediment model was established using two-dimensional shallow water equations. Moreover, the lateral widening of the breach was both modelled and tested in a laboratory experiment. The latter confirmed the validity of the simulations in terms of the flow and sediment transport processes. A better understanding of the processes following a levee breach can support the development of measures that help to reduce loss of life and damage to economic assets.

2 Research contributions

2.1 Hazard analysis

The analysis of the flood hazard in terms of frequencies, severity and the technical flood defense infrastructure remains an important topic also in integrated risk concepts

and was also covered in the present special issue. The paper by Hamdi et al. (2014) shows a comparison of three statistical approaches to extreme value analysis: the annual maxima (AM), the peaks-over-threshold (POT) and the largest order statistics (LOS). These methods are applied to management and financing. Jongman et al. (2014) provide an analysis on changes in flood exposure in the Netherlands and clear facilities are located that are designed to withstand (undiscuss the implications for the management of the financial likely) occurrences of failure. Nevertheless, recent extreme events showed exceptional observed surges (outliers), which were much larger than other observations. This clearly illustrated the potential to underestimate extreme water levels as compared with regions not at risk. It is argued that this calculated with the current statistical methods. It was found that the LOS and POT methods have reduced the uncertainty – currently considered as complementary strategy in the Netherlands – because the total financial risk is growing and would need to be covered by rising premiums. In line with the shift to integrated risk management, which also takes potential consequences into account, flood damage assessments and modelling have gained growing importance for applications in storm surge hazard analysis and flood management, but also for the optimal planning and design of facilities to withstand extreme environmental conditions, with an appropriate level of risk. In the Netherlands, nuclear energy operators in France, for applications in storm surge hazard analysis and flood management, but also for the optimal planning and design of facilities to withstand extreme environmental conditions, with an appropriate level of risk. They show that there has been a rapid increase in flood exposure in recent decades, with faster growth rates in terms of building stock and its economic value in flood-prone areas.

Shortly after a flood event, data and particularly maps about the flood and its consequences are scarce and not readily available. Therefore, Nazir et al. (2015) developed a three-step approach for the rapid generation of improved flood maps on basis of aperture radar images. In the first and applied to other case studies was investigated by Cam-

merer et al. (2013), who test the performance of several flooding as a more refined evaluation method to provide more damage functions when applied to a simulated flood event insensitive estimates of the effectiveness of damage mitigation Austria. They find that flood damage functions that were determined by measures. The key refinement is in controlling for sources derived from regions that resemble the case study considerably of bias caused by risk characteristics that differ systematically-outperform functions from other regions. The findings indicate that geographical origin is an important criterion for the selection of a damage model for integrated risk assessments who do not, produce less reliable estimates. In Hudson et al. (2014) propensity score matching was applied to survey into the flood vulnerability of the built environment. The data from flood-affected households across Germany. They vulnerability of different economic assets to flood impacts detect a substantial overestimate of mitigation measures' effectiveness if bias is not controlled for, ranging from nearly as buildings (Merz et al., 2010). For a comprehensive risk analysis, insights into specific vulnerabilities are therefore needed but have received relatively little attention in the literature. Stephenson and D'Ayala (2014) develop a new approach to evaluate the vulnerability of historic buildings in England, which has become a concern for those in charge of the preservation of the built cultural heritage.

2.3 Integrated risk assessments
 Integrated risk assessments, combining information on hazards, impacts and vulnerabilities. A new method for assessing societal flood-fatality risks in river deltas is presented in the contribution of de Bruijn et al. (2014). Flood-historic value of the building into account. An application risk analyses of river deltas are complex because the effects of the developed approach to three case studies in England showed that the procedure is able to capture individual building and site-wide vulnerability. Several contributions of this special issue provide advances in integrated risk assessments, combining information on hazards, impacts and vulnerabilities. A new method for assessing societal flood-fatality risks in river deltas is presented in the contribution of de Bruijn et al. (2014). Flood-historic value of the building into account. An application risk analyses of river deltas are complex because the effects of the developed approach to three case studies in England showed that the procedure is able to capture individual building and site-wide vulnerability.

While various methods were previously developed to assess the flood vulnerability and damage to buildings and critical infrastructures, only few approaches are available for pre-event assessment of built-up areas with a high resolution and includes effects from hydrodynamic interaction on flood risk. The outputs are FN curves (which give the annual probability of an event with N or more fatalities) potential loss derstand and assess the physical flood susceptibility of build-up of life (expected annual number of fatalities) for the area as a whole, and the contributions of the three subzones (tidal, a semi-automatic way and subsequently systematically characterized on the basis of a building taxonomy, comprising, The framework was applied to analyse societal flood fatality among other things, the height of the building, its size, and risk in the Rhine–Meuse delta. The method, however, can be applied to other deltas, as well. It is especially suitable for susceptibility is evaluated using depth-impact functions. The flood management of large deltas with a developed infrastructure of flood defenses, where hydrodynamic interactions are important.

Also the contribution by Miller et al. (2015) addresses the risk to life due to flooding in a delta area – in this case, New Orleans. Since the catastrophic flooding of New Orleans due to Hurricane Katrina in 2005, the city's hurricane protection system has been improved to provide protection against a hurricane load with a 1/100 per year exceedance frequency.

In a flood-risk analysis, the probabilities and consequences of various flood scenarios were analysed for the central area of New Orleans to give an estimate of the risk to life in the post-Katrina situation. A hydrodynamic model has been used to simulate flood characteristics of various levee breaches. Results indicate that – depending on the flood scenario – the

estimated loss of life in case of flooding ranges from about individual in case of a flood. However, practical experience shows that flood-prone households often do not voluntarily purchase flood insurance, even if cost-effectiveness is demonstrated. The risk estimates are combined to determine the individual risk and so given (Kunreuther, 1996). In their contribution, Aliagha et al. (2014) examine factors that influence homeowners to purchase flood insurance in Johor, Malaysia. Using discriminant analysis, they identify the demand-side factors that best explain the purchase of flood insurance and risk aversion. They identify considerable differences between those who buy insurance and those who do not. The most important differences between the two groups were found in terms of prior flood experience, perceived price of insurance cover, trust in the reliability of the insurer, distance to the river and income.

An approach to explore the influence of sea-level rise and socioeconomic developments on flood risk for the flood-prone District 4 in Ho Chi Minh City is provided by Lasage et al. (2014). They show that expected annual damage (EAD) in this district could rise from USD 0.31 million to up to USD 0.78 million in 2100. They also found that rising sea level has a larger effect on the EAD than socioeconomic developments. The results stress the importance of such comprehensive risk assessments for the sustainable development of rapidly developing coastal cities like Ho Chi Minh City.

2.4 Management and stakeholder participation

Finally, three contributions focus on flood-risk management aspects. In addition to providing an approach to explore the impacts of sea-level rise and socioeconomic developments on flood risk in Ho Chi Minh City, Lasage et al. (2014) develop and evaluate the effects of different adaptation strategies like new levees, dry and wet proofing of buildings and elevating roads and buildings. The benefit–cost ratios and net present values for the adaptation strategies were estimated until 2100. The adaptation strategies wet proofing and dry proofing generated the best results. The provided study will also have practical implications as the government of Ho Chi Minh City will use the generated information on the different strategies for the development of a new flood protection strategy.

The opportunities and challenges associated with multi-functional dikes in the Netherlands is investigated by van Loon-Steensma and Vellinga (2014). They review possible additional functions as well as strengths, weaknesses, and threats associated with robust flood defences in rural riverine areas. Besides undertaking an extensive literature review, they analysed case studies at five locations where dike reinforcement was planned. For each of the case studies, semi-structured interviews with experts and stakeholders were conducted. At each of the five locations, suitable robust flood defences could be identified that would additionally contribute to the envisaged various functions and ambitions for the respective areas. Primary strengths of a robust, multi-functional dike in comparison to a traditional dike appeared to be the more efficient use of space due to the combination of different functions, a longer-term focus and greater safety.

The purchase of flood insurance has been proposed as one solution to lower the vulnerability of households at risk of flooding because it reduces the financial consequences for an

3 Concluding remarks

The contributions of the special issue “Flood risk analysis and integrated management” provide novel insights into the diverse aspects that need to be taken into account in integrated flood-risk management. In addition to having a good understanding of the hazard component, which remains an important topic, also insights into exposure and vulnerability as well as flood-risk management are required. The contributions also demonstrate the complexities that arise from the implementation of such integrated concepts, both in terms of analysis and management. For example, implementing retention areas to temporarily store flood water to safeguard low-lying vulnerable areas elsewhere often competes with other users, who claim the same areas for other land-use functions (Aerts et al., 2005). By providing new insights and novel methodological approaches to tackle these complexities in very diverse geographical regions – including Colombia, Vietnam, Malaysia, the US and European case studies – this special issue aimed at contributing to a more comprehensive and sustainable flood-risk management.

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